

# McMaster Securities

## **A TAX-SMART WAY TO MAKE YOUR MARK AT McMASTER**

If you own publicly listed stock or securities – especially if they have increased in value to the point where taxes will be significant – making a donation of those assets to McMaster University can be a tax-smart way to support the University’s mission. Making such a gift is easy and our team is always ready to help you navigate the process.

## **WHAT TYPES OF ASSETS ARE ELIGIBLE?**

You can make a gift of shares, debt obligations or rights listed on a prescribed stock exchange. You can also donate a share of the capital stock of a mutual fund corporation, a unit of a mutual fund trust, an interest in a related segregated fund trust or a prescribed debt obligation. Because these assets can fluctuate in value, the dollar amount of your charitable gift receipt will be based on the closing trading price on the day that McMaster receives delivery of the donated asset(s).

## **WHAT ARE THE BENEFITS OF A GIFT OF SECURITIES?**

- As with any charitable donation, you can designate your gift to the area of the University that is the most meaningful to you or you can make an unrestricted donation to support McMaster’s greatest needs.
- You can make your gift during your lifetime to receive a substantial tax benefit now, or you can make a gift provision in your will that will generate tax savings for your estate.
- You can combine gifts of stock and securities with other forms of future giving such as a charitable bequest to make a more significant gift than would otherwise be possible, thus allowing you to establish your own lasting legacy at McMaster.
- Depending on how you structure your gift, you can provide McMaster with present, future and/or perpetual income, allowing the University to plan strategically for years and decades to come.

## **TO LEARN MORE ABOUT MAKING A GIFT OF SECURITIES**

We would be pleased to provide you with more detailed and personalized information on how to make a charitable gift. If you are interested in using philanthropy as one of your tax-planning tools, we can also discuss other tax-smart and strategic ways to make a future gift tailored to the needs of your loved ones, your personal timeline and your charitable goals.

*Thank you for considering McMaster University in your philanthropic plans.*

## **ELECTRONIC TRANSFER OF MUTUAL FUNDS AND SECURITIES TRADED ON CANADIAN OR U.S. STOCK EXCHANGES**

McMaster University is pleased to accept publicly traded securities as donations from both Canadian and U.S. stock exchanges, and gifts of mutual funds. Our broker/custodian will receive the transferred shares on behalf of the University.

### **PLEASE COMPLETE ALL THREE STEPS LISTED BELOW**

If any step is omitted, your transfer may become unidentifiable which could result in processing delays. Should you have any questions, please contact our team and they will be happy to assist.

### **TO INITIATE THE TRANSFER OF SECURITIES OR MUTUAL FUNDS, YOU WILL NEED TO:**

- 1.** Complete and sign the attached Letter of Authorization. It contains information required to authorize the settlement of your transfer, and includes all of the necessary details for your broker to initiate the transfer. E-signatures are acceptable.
- 2.** Send the Letter of Authorization to your broker (or custodian). Upload a copy of the Letter of Authorization to McMaster University [using this link](#) (preferred), or email it to [advgift@mcmaster.ca](mailto:advgift@mcmaster.ca).
- 3.** McMaster's Treasury Operations team may contact your broker to confirm the transfer and will authorize our agent to accept the securities or mutual funds. Once the assets have been successfully transferred, McMaster's agent will notify the University of the completed transfer so we can issue an official charitable tax receipt. The receipt will reflect the value of the shares at the close of business on the date that McMaster's custodian receives the transfer of securities from the counter-party. Please be advised that these transactions can take up to five days.

McMaster's policy is that all shares will be sold as soon as practicable after receipt.

Thank you for considering a gift to McMaster University.

### **FOR MORE INFORMATION, PLEASE CONTACT:**

Senior Advancement Analyst  
University Advancement  
Phone: 905.525.9140 ext. 24212  
Email: [advgift@mcmaster.ca](mailto:advgift@mcmaster.ca)

**LEGAL NAME:** McMaster University  
**CHARITABLE BUSINESS NUMBER:** 11903 5988 RR 0001  
**ADDRESS:** McMaster University  
c/o University Advancement, OJN-432  
1280 Main Street West,  
Hamilton, ON L8S 4L8

## **LETTER OF AUTHORIZATION TO TRANSFER GIFT OF SECURITIES OR MUTUAL FUNDS**

A signed copy of this letter must be provided to your broker and to McMaster to initiate the gift transfer. Please upload the completed form to Advancement Services & Operations [using this link](#) (preferred), or email it to [advgift@mcmaster.ca](mailto:advgift@mcmaster.ca).

Broker's Name: \_\_\_\_\_

Broker's Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Phone & Email: \_\_\_\_\_

Fax: \_\_\_\_\_

### **Re: Electronic Transfer of Securities or Mutual Funds for Charitable Purposes**

#### **Transfer from:**

Account #: \_\_\_\_\_

Account Name: \_\_\_\_\_

Donor's Name: \_\_\_\_\_

Broker CUID or DTC #\*: \_\_\_\_\_

\*Please provide Broker's CUID # for Canadian Depository or Broker's DTC # for US Depository

#### **Share Information:**

Number of Shares/Units: \_\_\_\_\_

Company Name: \_\_\_\_\_

CUSIP#: \_\_\_\_\_

Symbol: \_\_\_\_\_

Approximate Gift Value: \_\_\_\_\_

McMaster University Allocation (Fund Name): \_\_\_\_\_

(Common allocations include the University's greatest needs, a named bursary/award, a specific academic program/faculty, Athletics & Recreation, University Library, etc.)

*By signing below, I confirm that I am over the age of 18 and fully understand that I am authorized and am consenting to this transfer of property to the McMaster University. I authorize McMaster University or its agent to contact my broker (or custodian) for the purposes of completing this transaction. Please arrange for this transfer as expeditiously as possible and contact me if you have any questions.*

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Phone & Email: \_\_\_\_\_

#### **Please check one:**

##### **Canadian Stock Exchange**

CIBC Mellon

CDS Participant CUID: CMTC

Account #131047

Emails:

MM1.Inquiries@CIBCMellon.com and  
CCSTEAM2.Inquiries@CIBCMellon.com

##### **U.S. Stock Exchange**

CIBC Mellon

DTC Participant #901, Agent ID#93034

Account #131047

Account name:

McMaster University - Working Capital  
Email: MM1.Inquiries@CIBCMellon.com

##### **Mutual Funds**

RBC Dominion Securities

1500-100 King Street West

Hamilton, Ontario L8P 1A2

FINS #T002, DTC: 5002, CUID: DOMA

Account #57131840-1-7

Contact: Kimberlee Parker

Tel: 905.546.5812